



Public-Key Encryption and Injective Trapdoor Functions from LWE with Large Noise Rate

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- So far, most cryptographic primitives from LWE require the noise rate $\alpha < o(1/(\sqrt{n}\log n))$.
 - public-key encryption schemes [Reg05]
 - Trapdoor functions [MP11]
 - fully homomorphic encryption [BV11, GSW13],
.....
- What if LWE under this setting is not secure?

Try LWE with larger noise rates!



01

Background

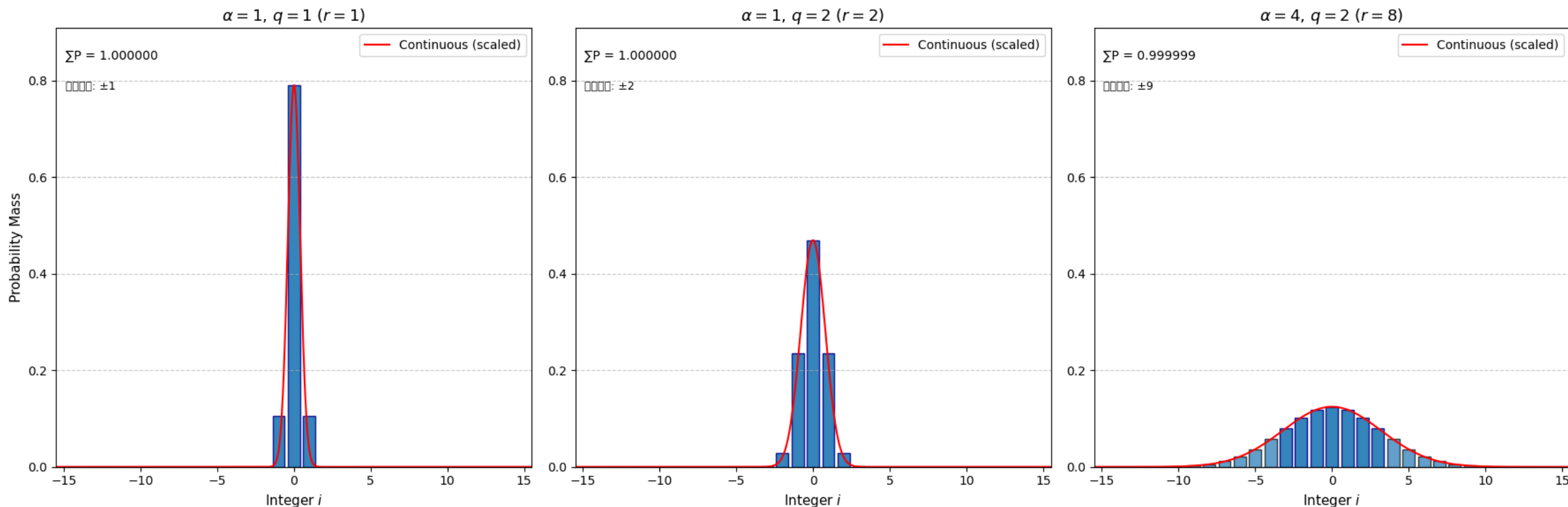
Introduction to LWE



Discrete Gaussian distribution

Continuous Gaussian: $\forall x \in \mathbf{R}, D_r(x) := \frac{e^{-\pi x^2/r^2}}{r} \cdot (r \in \mathbf{R}^+)$

Discrete Gaussian: $\forall i \in \mathbf{Z}, \Psi_{\alpha,q}(i) := \int_{x=i+1/2}^{x=i+3/2} D_{\alpha q}(x) dx \quad (q \in \mathbf{Z}, q \geq 2, \alpha \in \mathbf{R}^+)$



When $r \rightarrow \infty$, this distribution is almost uniform.

When $r \rightarrow 0$, this distribution tends to be identically zero.



Learning with errors (LWE)

$s \in \mathbb{Z}_q^n, \forall i \in [1, m]: \mathbf{a}_i \leftarrow \mathbb{Z}_q^n, e_i \leftarrow \Psi_{\alpha, q}, b_i := (\langle \mathbf{a}_i, s \rangle + e_i) \bmod q$. Given $\{(\mathbf{a}_i, b_i)\}_i$, solve s .

$\alpha > 0$: the noise rate

$$\begin{aligned} \langle \mathbf{a}_1, s \rangle + e_1 &\equiv b_1 \pmod{q} \\ \langle \mathbf{a}_2, s \rangle + e_2 &\equiv b_2 \pmod{q} \\ &\dots \\ \langle \mathbf{a}_m, s \rangle + e_m &\equiv b_m \pmod{q} \end{aligned}$$

When $\alpha \rightarrow 0$, e_i tends to be identically zero, and s can be solved by Gaussian elimination.

When $\alpha \rightarrow \infty$, e_i is almost uniform, and it's hard to solve s .

When α is neither large nor small (most commonly $\alpha < o(1/(\sqrt{n} \log n))$), LWE can be used in cryptography:

- public-key encryption schemes [Reg05]
- signatures [GPV08]
- fully homomorphic encryption [BV11, GSW13],
- identity-based encryption [GPV08]
- attribute-based encryption [GVW13]

.....



(t, ϵ) -hardness of decision LWE

Let n be the security parameter. Let $q = q(n)$ be a prime modulus. Let $m = m(n)$, $\alpha = \alpha(n)$, $t = t(n)$, $\epsilon = \epsilon(n)$. We say the decision $\text{LWE}(n, q, \alpha)$ is (t, ϵ) -hard if for every distinguisher $\mathcal{D}$ of running time t ,

$$\left| \Pr_{\mathbf{A} \leftarrow \mathbf{Z}_q^{n \times m}, \mathbf{s} \leftarrow \mathbf{Z}_q^n, e \leftarrow \Psi_{\alpha, q}^m} [\mathcal{D}(\mathbf{A}, \mathbf{A}^T \mathbf{s} + e) = 1] - \Pr_{\mathbf{A} \leftarrow \mathbf{Z}_q^{n \times m}} [\mathcal{D}(\mathbf{A}, \mathbf{U}_q) = 1] \right| < \epsilon.$$

When $t = n^{\omega(1)}$ and $\epsilon = n^{-\omega(1)}$, we omit (t, ϵ) and simply say the decision LWE problem is hard.



02

Main Results

PKE and iTDF from Large Noise
LWE



Result: PKE from Large Noise LWE

[Reg05]:

For some prime $q \in \text{poly}(n)$, there exists a **public-key encryption (PKE) scheme** with CPA-security assuming the $(n^{\omega(1)}, n^{-\omega(1)})$ -hardness of decision $\text{LWE}(n, q, \alpha = o(1/(\sqrt{n \log n})))$.

This work:

For some prime $q \in \text{poly}(n)$, there exists a **public-key encryption (PKE) scheme** with CPA-security based on **one of** the following three assumptions:

- (1) The $(n^{\omega(1)}, n^{-\omega(1)})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\sqrt{n}))$.
- (2) The $(2^{\omega(n^{\frac{1}{c_1}})}, 2^{-\omega(n^{\frac{1}{c_1}})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\sqrt{n^{1-\frac{1}{c_1}} \log n}))$, for some constant $c_1 > 1$.

For example, let $c_1 = 2$, there exists a PKE scheme based on:

the $(2^{\omega(n^{\frac{1}{2}})}, 2^{-\omega(n^{\frac{1}{2}})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/(n^{\frac{1}{4}} \log^{\frac{1}{2}} n)))$.

- (3) The $(2^{\omega(\frac{n}{\log^{c_2} n})}, 2^{-\omega(\frac{n}{\log^{c_2} n})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\sqrt{\log^{c_2+1} n}))$, for some constant $c_2 > 0$.

For example, let $c_2 = 3$, there exists a PKE scheme based on:

the $(2^{\omega(\frac{n}{\log^3 n})}, 2^{-\omega(\frac{n}{\log^3 n})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\log^2 n))$.



Result: (injective) TDF from Large Noise LWE

For some prime $q \in \text{poly}(n)$, there exists a **trapdoor function (TDF) family** based on one of the following three assumptions.

- (1) The $(n^{\omega(1)}, n^{-\omega(1)})$ -hardness of search $\text{LWE}(n, q(n), O(1/\sqrt{n}))$.
- (2) The $(2^{\omega(n^{\frac{1}{c_1}})}, 2^{-\omega(n^{\frac{1}{c_1}})})$ -hardness of search $\text{LWE}(n, q(n), O(1/\sqrt{n^{1-\frac{1}{c_1}}}))$, for some constant $c_1 > 1$.
- (3) The $(2^{\omega(\frac{n}{\log^{c_2} n})}, 2^{-\omega(\frac{n}{\log^{c_2} n})})$ -hardness of search $\text{LWE}(n, q(n), O(1/\sqrt{\log^{c_2+1} n}))$, for some constant $c_2 > 0$.

Specifically, assuming condition (1) or condition (2) with $c_1 \geq 2$, **the TDF family is injective**.



Result: PKE from constant-noise LPN

Yu, Zhang in CRYPTO2016 & this work:

There exists a **PKE scheme** with CPA-security assuming the $(2^{\omega(n^{\frac{1}{2}})}, 2^{-\omega(n^{\frac{1}{2}})})$ -hardness of $\text{LPN}(n, \mu)$, for some constant $0 < \mu < 1/2$.

Compared to [YZ16], our scheme achieves the same security while having a simpler construction.



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Public-Key Encryption from Large Noise LWE



(Weakly correct) Regev's PKE [Reg05]

Let n be the security parameter, $q \in \text{poly}(n)$ be a prime, $m \geq 2(n+1)\log q = \Theta(n \log n)$, $\alpha = \frac{1}{10\sqrt{m}} = \Theta(1/\sqrt{n \log n})$.



Alice



Bob

KeyGen(1^n):

• Sample $\mathbf{A} \leftarrow \mathbf{Z}_q^{n \times m}$, $s \leftarrow \mathbf{Z}_q^n$, $\mathbf{e} \leftarrow \Psi_{\alpha, q}^m$.

• Compute $\mathbf{b} := (\mathbf{A}^T s + \mathbf{e}) \bmod q$.

• Set $pk := (\mathbf{A}, \mathbf{b})$, $sk := s$.

pk

Enc($pk, \beta \in \{0,1\}$):

• Sample $\mathbf{r} \leftarrow \{0,1\}^m$.

• Compute $c_1 := \mathbf{A}\mathbf{r}$, $c_2 := \mathbf{r}^T \mathbf{b} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta$

• Set $\mathbf{c} := (c_1, c_2)$

$\mathbf{c}$

Dec($sk, \mathbf{c}$):

• Compute $\Delta := (c_2 - c_1^T s) \bmod q$

$= \left(\mathbf{r}^T \mathbf{e} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta \right) \bmod q$.

• If $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$, output 0.



A lemma for discrete Gaussian sums:

For any $\mathbf{r} \in \{0,1\}^n$, there exists some $\alpha = \Theta\left(1/\sqrt{\text{Ham}(\mathbf{r})}\right)$ such that, if $e \leftarrow \Psi_{\alpha,q}^n$,

$$\Pr_e\left[\left|\mathbf{r}^T \mathbf{e}\right| < \left\lfloor \frac{q}{2} \right\rfloor / 2\right] > 2/3.$$

$\text{Dec}(sk, c)$:

- Compute $\Delta := (c_2 - c_1^T s) \bmod q$
 $= \left(\mathbf{r}^T \mathbf{e} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta \right) \bmod q.$
- If $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$, output 0.
- Otherwise, output 1.

Proof of correctness:

Since we choose $\alpha = \Theta(1/\sqrt{m}) = \Theta\left(1/\sqrt{\text{Ham}(\mathbf{r})}\right)$, we have $|\mathbf{r}^T \mathbf{e}| < \left\lfloor \frac{q}{2} \right\rfloor / 2$ with probability $> 2/3$.

If $\beta = 0$, then $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$ with probability $> 2/3$.

If $\beta = 1$, then $|\Delta| > \left\lfloor \frac{q}{2} \right\rfloor / 2$ with probability $> 2/3$.



(Weakly correct) Regev's PKE [Reg05]

Let n be the security parameter, $q \in \text{poly}(n)$ be a prime, $m \geq 2(n+1)\log q = \Theta(n \log n)$, $\alpha = \frac{1}{10\sqrt{m}} = \Theta(1/\sqrt{n \log n})$.



Alice



Bob

KeyGen(1^n):

• Sample $\mathbf{A} \leftarrow \mathbf{Z}_q^{n \times m}$, $\mathbf{s} \leftarrow \mathbf{Z}_q^n$, $\mathbf{e} \leftarrow \Psi_{\alpha, q}^m$.

• Compute $\mathbf{b} := (\mathbf{A}^T \mathbf{s} + \mathbf{e}) \bmod q$.

• Set $pk := (\mathbf{A}, \mathbf{b})$, $sk := \mathbf{s}$.

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Enc($pk, \beta \in \{0,1\}$):

• Sample $\mathbf{r} \leftarrow \{0,1\}^m$.

• Compute $c_1 := \mathbf{A}\mathbf{r}$, $c_2 := \mathbf{r}^T \mathbf{b} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta$

$\mathbf{c}$

• Set $\mathbf{c} := (c_1, c_2)$

Dec($sk, \mathbf{c}$):

• Compute $\Delta := (c_2 - c_1^T \mathbf{s}) \bmod q$.

• If $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$, output 0.

• Otherwise, output 1.

Correctness:

$\Delta = \left(\mathbf{r}^T \mathbf{e} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta \right) \bmod q$. Since

$\mathbf{e} \in \Psi_{\alpha, q}^m$, we have $|\mathbf{r}^T \mathbf{e}| < \left\lfloor \frac{q}{2} \right\rfloor / 2$ with



A Corollary of the Leftover Hash Lemma (LHL):

Let $A \leftarrow \mathbf{Z}_q^{n \times m}$, $\mathbf{x} \leftarrow X$, where X is a distribution on $\mathbf{Z}_q^m$. Then,

$$\text{SD}\left((A, A\mathbf{x}), (A, U_q^n)\right) \leq \sqrt{\frac{q^n}{2^{H_\infty(X)}}},$$

where we denote the min-entropy of X by $H_\infty(X) := -\log \max_{x \in \text{Supp}(X)} \Pr_{X \leftarrow X}[X = x]$.



(Weakly correct) Regev's PKE [Reg05]

Let n be the security parameter, $q \in \text{poly}(n)$ be a prime, $m \geq 2(n+1)\log q = \Theta(n \log n)$, $\alpha = \frac{1}{10\sqrt{m}} = \Theta(1/\sqrt{n \log n})$.



Alice



Bob

$\text{KeyGen}(1^n)$:

- Sample $A \leftarrow \mathbb{Z}_q^{n \times m}$, $s \leftarrow \mathbb{Z}_q^n$, $e \leftarrow \Psi_{\alpha, q}^m$.
- Sample $b \leftarrow \mathbb{Z}_q^m$
- Set $pk := (A, b)$, $sk := s$.

By the hardness of (decision) $\text{LWE}(n, q, \alpha)$,
 $(A, b) \approx_c U_q^m$

pk

Since $H_\infty(r) = m = \Theta(n \log n)$, by LHL, we have

$$\text{SD}\left((A, b, Ar, r^T b), (A, b, U_q^{n+1})\right) \leq \sqrt{\frac{q^{n+1}}{2^{H_\infty(r)}}} = \text{negl}(n).$$

Another distribution with:

$\text{Enc}(pk, \beta \in \{0, 1\})$: (i) Smaller $\text{Ham}(r)$

• Sample $r \leftarrow \{0, 1\}^n$. (ii) Enough $H_\infty(r)$

• Compute $c_1 := U_q^n$, $c_2 := U_q$, $\left\lfloor \frac{q}{2} \right\rfloor \cdot \beta$

• Set $c := (c_1, c_2)$

c

$\text{Dec}(sk, c)$:

• Compute $\Delta := (c_2 - c_1^T s) \bmod q$.

• If $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$, output 0.

• Otherwise, output 1.

Correctness:

$$\Delta = \left(r^T e + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta \right) \bmod q. \text{ Since}$$

$\Theta(1/\sqrt{1}) = \Theta(1/\sqrt{H_\infty(r)})$, we have $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$ with



A Short and Sparse Distribution for r

Let $\Xi^{[m:n]}$ be the uniform distribution on the set:

$$\{x \in \{0,1\}^m \mid \text{Ham}(x) = n\}.$$

When $m = \text{poly}(n)$, we have $H_\infty(\Xi^{[m:n]}) = \log \binom{m}{n} = \Theta(n \log m) = \Theta(n \log n)$



Encryption with Short and Sparse r

Let n be the security parameter, $q \in \text{poly}(n)$ be a prime, $m = \text{poly}(n)$, $\alpha = \Theta(1/\sqrt{n})$.



Alice



Bob

$\text{KeyGen}(1^n)$:

- Sample $A \leftarrow \mathbf{Z}_q^{n \times m}$, $s \leftarrow \mathbf{Z}_q^n$, $e \leftarrow \Psi_{\alpha, q}^m$.
- Compute $b := (A^T s + e) \bmod q$.
- Set $pk := (A, b)$, $sk := s$.

Since $H_\infty(r) = \Theta(n \log n)$, by LHL, we have

$$\text{SD}\left((A, b, Ar, r^T b), (A, b, U_q^{n+1})\right) \leq \sqrt{\frac{q^{n+1}}{2^{H_\infty(r)}}} = \text{negl}(n).$$

By the hardness of (decision) $\text{LWE}(n, q, \alpha)$,
 $(A, b) \approx_c U_q^m$

$\text{Enc}(pk, \beta \in \{0, 1\})$:

- Sample $r \leftarrow \mathbb{Z}^{[m:n]}$
- Compute $c_1 := Ar$, $c_2 := r^T b + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta$
- Set $\mathbf{c} := (c_1, c_2)$

$\text{Dec}(sk, \mathbf{c})$:

- Compute $\Delta := (c_2 - c_1^T s) \bmod q$.
- If $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$, output 0.
- Otherwise, output 1.

Correctness:

$$\Delta = \left(r^T e + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta \right) \bmod q. \text{ Since}$$

$\Theta(1/\sqrt{n}) = \Theta(1/\sqrt{H_\infty(r)})$, we have $|r^T e| \leq \left\lfloor \frac{q}{2} \right\rfloor / 2$ with



A Short and Sparse Distribution for r

Let $\Xi^{[m:n]}$ be the uniform distribution on the set:

$$\{x \in \{0,1\}^m \mid \text{Ham}(x) = n\}.$$

When $n = o(m)$, we have $H_\infty(\Xi^{[m:n]}) = \log \binom{m}{n} = \Theta(n \log m) = \Theta(n \log n)$

Not enough for $A \leftarrow \mathbb{Z}_q^{n \times m}$
But still enough if $A \leftarrow \mathbb{Z}_q^{\lambda \times m}$ for some $\lambda = o(n)$.



Our LWE-PKE ($\lambda = \log^2 n$)

Let n be the security parameter. Let $\lambda = \log^2 n$, $q = \text{poly}(\lambda)$.

Let $k = \Theta(\lambda \log q / \log n)$ such that $H_\infty(\Xi^{[n:k]}) \geq 2(\lambda + 1) \log q$. Let $\alpha = \Theta(1/\sqrt{k}) = \Theta(1/\sqrt{\log n \log \log n})$.



Alice



Bob

KeyGen(1^n):

- Sample $\mathbf{A} \leftarrow \mathbf{Z}_q^{\lambda \times n}$, $\mathbf{s} \leftarrow \mathbf{Z}_q^\lambda$, $\mathbf{e} \leftarrow \Psi_{\alpha, q}^n$.
- Compute $\mathbf{b} := (\mathbf{A}^T \mathbf{s} + \mathbf{e}) \bmod q$.
- Set $pk := (\mathbf{A}, \mathbf{b})$, $sk := \mathbf{s}$.

Since $H_\infty(\mathbf{r}) = \Theta(k \log n)$, by LHL, we have

$$\text{SD}\left((\mathbf{A}, \mathbf{b}, \mathbf{A}\mathbf{r}, \mathbf{r}^T \mathbf{b}), (\mathbf{A}, \mathbf{b}, \mathbf{U}_q^{\lambda+1})\right) \leq \sqrt{\frac{q^{\lambda+1}}{2^{H_\infty(\mathbf{r})}}} = \text{negl}(n).$$

By the hardness of (decision) $\text{LWE}(\lambda, q, \alpha)$,
 $(\mathbf{A}, \mathbf{b}) \approx_c \mathbf{U}_q^m$

Enc($pk, \beta \in \{0, 1\}$):

- Sample $\mathbf{r} \leftarrow \Xi^{[n:k]}$
- Compute $c_1 := \mathbf{A}\mathbf{r}$, $c_2 := \mathbf{r}^T \mathbf{b} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta$
- Set $\mathbf{c} := (c_1, c_2)$

Dec($sk, \mathbf{c}$):

- Compute $\Delta := (c_2 - c_1^T \mathbf{s}) \bmod q$.
- If $|\Delta| < \left\lfloor \frac{q}{2} \right\rfloor / 2$, output 0.
- Otherwise, output 1.

Correctness:

$$\Delta = \left(\mathbf{r}^T \mathbf{e} + \left\lfloor \frac{q}{2} \right\rfloor \cdot \beta \right) \bmod q. \text{ Since}$$

$$\left| \mathbf{r}^T \mathbf{e} \right| \leq \left\| \mathbf{r} \right\|_1 \left\| \mathbf{e} \right\|_1 \leq \left(\sum_{i=1}^n 1 \right) \left(\sum_{i=1}^n \alpha \right) = n \alpha = \log^2 n$$



The hardness of $\text{LWE}(\lambda, q, \alpha)$

When n is the security parameter, $\lambda = \log^2 n$, $q = \text{poly}(\lambda)$, $\alpha = \Theta\left(1/\sqrt{\log n \log \log n}\right)$,
the security of the scheme is based on the hardness of decision $\text{LWE}(\lambda, q, \alpha)$,
i.e.,

the $(n^{\omega(1)}, n^{-\omega(1)})$ -hardness of decision $\text{LWE}\left(\log^2 n, \text{poly}(\log n), \Theta\left(\frac{1}{\sqrt{\log n \log \log n}}\right)\right)$,

i.e.,

the $(2^{\omega(\sqrt{\log^2 n})}, 2^{-\omega(\sqrt{\log^2 n})})$ -hardness of decision $\text{LWE}\left(\log^2 n, \text{poly}(\log^2 n), \Theta\left(\frac{1}{(\log^2 n)^{\frac{1}{4}} (\log \log^2 n)^{\frac{1}{2}}}\right)\right)$,

This is based on:

the $(2^{\omega(\sqrt{n})}, 2^{-\omega(\sqrt{n})})$ -hardness of decision $\text{LWE}\left(n, \text{poly}(n), \Theta\left(\frac{1}{n^{\frac{1}{4}} \log^{\frac{1}{2}} n}\right)\right)$.



Result: PKE from Large Noise LWE

[Reg05]:

For some prime $q \in \text{poly}(n)$, there exists a **public-key encryption (PKE) scheme** with CPA-security assuming the $(n^{\omega(1)}, n^{-\omega(1)})$ -hardness of decision $\text{LWE}(n, q, \alpha = o(1/(\sqrt{n} \log n)))$.

This work:

For some prime $q \in \text{poly}(n)$, there exists a **public-key encryption (PKE) scheme** with CPA-security based on **one of** the following three assumptions:

- (1) The $(n^{\omega(1)}, n^{-\omega(1)})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\sqrt{n}))$.
- (2) The $(2^{\omega(n^{\frac{1}{c_1}})}, 2^{-\omega(n^{\frac{1}{c_1}})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\sqrt{n^{1-\frac{1}{c_1}} \log n}))$, for some constant $c_1 > 1$.
($\lambda = \log^{c_1} n$)

For example, let $c_1 = 2$, there exists a PKE scheme based on:

the $(2^{\omega(n^{\frac{1}{2}})}, 2^{-\omega(n^{\frac{1}{2}})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/(n^{\frac{1}{4}} \log^{\frac{1}{2}} n)))$. ($\lambda = \log^2 n$)

- (3) The $(2^{\omega(\frac{n}{\log^{c_2} n})}, 2^{-\omega(\frac{n}{\log^{c_2} n})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\sqrt{\log^{c_2+1} n}))$, for some constant $c_2 > 0$. ($\lambda = \log n (\log \log n)^{c_2}$)

For example, let $c_2 = 3$, there exists a PKE scheme based on:

the $(2^{\omega(\frac{n}{\log^3 n})}, 2^{-\omega(\frac{n}{\log^3 n})})$ -hardness of decision $\text{LWE}(n, q, \alpha = O(1/\log^2 n))$. ($\lambda = \log n (\log \log n)^3$)



Thanks!