# Bounded CCA2-secure Proxy Re-encryption from Lattices

Shingo Sato, Junji Shikata

Yokohama National University

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#### Overview of Our Result

## Background and Our Goal

Propose a bounded CCA2-secure post-quantum proxy re-encryption (PRE).

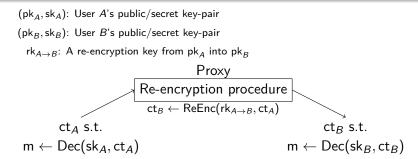
- PRE: Public key encryption that converts ciphertexts under a public key into ciphertexts under another public key.
- No existing CCA2-secure post-quantum PRE.

#### Overview of our Result

- Introduce the notion of bounded CCA2 security for PRE;
- Propose a generic construction of bounded CCA2-secure PRE starting from CPA-secure PRE with an additional property;
- Propose a lattice-based PRE with required security.

# Proxy Re-encryption (PRE)

- Public key cryptosystem that allows a proxy to convert ciphertexts under pk<sub>A</sub> into ciphertexts under pk<sub>B</sub>.
- Applications: e-mail forwarding, encrypted data storage, etc.



### Classification of PRE

Focus on single-hop unidirectional PRE.

#### Unidirectional vs. Bidirectional

- Unidirectional:  $\mathsf{rk}_{A \to B} \leftarrow \mathsf{ReKeyGen}(\mathsf{sk}_A, \mathsf{pk}_B)$  allows only re-encryption from  $\mathsf{pk}_A$  into  $\mathsf{pk}_B$ .
- Bidirectional:  $\mathsf{rk}_{A \to B} \leftarrow \mathsf{ReKeyGen}(\mathsf{sk}_A, \mathsf{sk}_B)$  also allows re-encryption from  $\mathsf{pk}_B$  into  $\mathsf{pk}_A$ .

# Single-hop vs. Multi-hop

- Single-hop: ct<sub>B</sub> cannot be re-encrypted to other ciphertexts.
- Multi-hop: ct<sub>B</sub> can be re-encrypted into ciphertexts under another public key.

Here,  $\operatorname{ct}_B \leftarrow \operatorname{ReEnc}(\operatorname{rk}_{A \to B}, \operatorname{Enc}(\operatorname{pk}_A, \operatorname{m}))$ .

# Existing Post-Quantum PRE Schemes

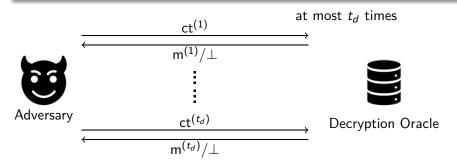
### There is no CCA2-secure post-quantum PRE scheme.

| Scheme                | Security       | Assumption | Dir. | # of Hops         |
|-----------------------|----------------|------------|------|-------------------|
| [CCL <sup>+</sup> 14] | CPA            | LWE        | Uni  | Multi             |
| [PRSV17]              | CPA            | Ring-LWE   | Uni  | Multi             |
| [FKKP19]              | (adaptive) HRA | LWE        | Uni  | Multi             |
| [FL19]                | CCA1           | LWE        | Uni  | Multi             |
| [ZLHZ23]              | CPA            | LWE        | Uni  | Single            |
| [ZJZ24]               | HRA            | LWE        | Uni  | Multi             |
| [WWXW25]              | (adaptive) HRA | LWE        | Uni  | (unbounded) Multi |

- CPA and CCA1 are strictly weaker than CCA2.
- The relationship between CCA2 and HRA is unknown:
  - HRA is strictly stronger than CPA.
  - ▶ But, the adversary is not given any access to the decryption oracle.

# Bounded CCA2 Security for Public Key Encryption

- A weak variant of CCA2 security for public key encryption (PKE)
- The number of decryption queries is at most a-priori parameter  $t_d = O(1)$  (called a collusion parameter).



Generic constructions from CPA-secure PKE have been proposed so far. There are several practical applications.

## Our Contribution

#### Goal

Propose a bounded CCA2-secure post-quantum PRE with compact ciphertexts.

- Bounded CCA2 security: provides a sufficiently wide range of applications.
- Compact ciphertexts: ciphertext-size does not depend on collusion parameters, linearly.

#### Contribution

- Formalize the notion of bounded CCA2 security for PRE;
- Propose a generic construction of bounded CCA2-secure PRE with compact ciphertexts starting from CPA-secure PRE with our introduced property;
- Propose a lattice-based PRE with required properties;

### Definition of PRE

# Definition (Syntax of PRE (informal))

- KeyGen $(1^{\lambda}) \rightarrow (\mathsf{pk}, \mathsf{sk});$
- Enc(pk, m) → ct;
- Dec(sk, ct)  $\rightarrow$  m/ $\perp$ ;
- ReKeyGen( $\operatorname{sk}_A$ ,  $\operatorname{pk}_B$ )  $\to$   $\operatorname{rk}_{A \to B}$ ;
- ReEnc( $\mathsf{rk}_{A \to B}, \mathsf{ct}_A$ )  $\to \mathsf{ct}_B$ .

pk, pk<sub>A</sub>, pk<sub>B</sub>: public keys; sk, sk<sub>A</sub>, sk<sub>B</sub>: secret keys; m: message; ct: ciphertext;  $\perp$ : rejection symbol; rk<sub>A $\rightarrow$ B</sub>: a re-encryption key.

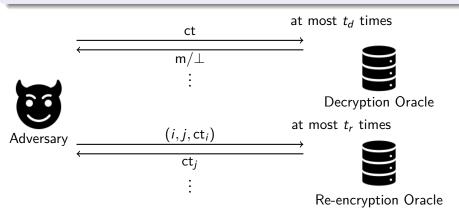
## Re-encryption correctness

$$\mathsf{Dec}(\mathsf{sk}_B, \mathsf{ReEnc}(\mathsf{rk}_{A \to B}, \mathsf{ct}_A)) = \mathsf{m}$$

holds for all  $\operatorname{ct}_A \leftarrow \operatorname{Enc}(\operatorname{pk}_A, \operatorname{m})$  and  $\operatorname{rk}_{A \to B} \leftarrow \operatorname{ReKeyGen}(\operatorname{sk}_A, \operatorname{pk}_B)$ .

# Bounded CCA2 Security for PRE

• The numbers of decryption queries and re-encryption queries are at most a-priori parameters  $t_d = O(1)$  and  $t_r = O(1)$ , respectively.



The CCA2 security in the game above is called  $(t_d, t_r)$ -CCA2 security.

# Building Blocks of Our Basic PRE

# **Building blocks**

- CPA-secure PRE PRE<sub>CPA</sub>;
- Strongly unforgeable one-time signatures OTS;
- Cover-free families (CFFs)

## Definition $((\bar{n}, u, t)$ -CFF)

 $\exists$  a function  $\phi: \{1, \dots, \bar{n}\}$  (an identity space)  $\to$  (a subset of  $\{1, \dots, u\}$ ) (where  $u \ll \bar{n}$ ) s.t.

$$\phi(\mathsf{id}^*) \notin \phi(\mathsf{id}^{(1)}) \cup \ldots \cup \phi(\mathsf{id}^{(t)})$$

for all

- ullet id $^{(1)},\ldots,$  id $^{(t)}\in\{1,\ldots,ar{n}\}$  and
- $id^* \notin \{1, ..., \bar{n}\} \setminus \{id^{(1)}, ..., id^{(t)}\}.$

# Basic Generic Construction from CPA-secure PRE (1/2)

We consider the following trivial construction which is based on the existing bounded CCA2-secure PKE [CHH $^+$ 07]:

```
• \mathsf{pk} = (\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_1, \dots, \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_u);

• \mathsf{sk} = (\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_1, \dots, \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_u);

• \mathsf{ct} = (\mathsf{OTS}.\mathsf{vk}, \mathsf{ct}_{\mathsf{vk}}, \mathsf{OTS}.\sigma):

• (\mathsf{OTS}.\mathsf{vk}, \mathsf{OTS}.\mathsf{sigk}) \leftarrow \mathsf{OTS}.\mathsf{KeyGen};

• \mathsf{ct}_{\mathsf{vk}} = (\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ct}_1, \dots, \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ct}_v): \mathsf{Enc}, \mathsf{associated} \mathsf{with} \mathsf{OTS}.\mathsf{vk}.

• \phi(\mathsf{OTS}.\mathsf{vk}) := \{\tau_1, \dots, \tau_v\} \subseteq \{1, \dots, u\};

• \mathsf{Sample} \mathsf{random} \mathsf{values} (x_1, \dots, x_v) \mathsf{s.t.} \ x_1 \oplus \dots \oplus x_v = \mathsf{m};

• \forall i \in \{1, \dots, v\}, \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ct}_i \leftarrow \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{Enc}(\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_{\tau_i}, x_i);

• \mathsf{OTS}.\sigma \leftarrow \mathsf{OTS}.\mathsf{Sign}(\mathsf{OTS}.\mathsf{sigk}, \mathsf{ct}_{\mathsf{vk}});
```

# Basic Generic Construction from CPA-secure PRE (2/2)

# Re-encryption key generation (ReKeyGen)

```
• \mathsf{rk}_{A \to B} = (\mathsf{rk}_{i \to j})_{i,j \in \{1,\dots,u\}}:

• \mathsf{rk}_{i \to j} \leftarrow \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ReKeyGen}(\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_{A,i}, \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_{B,j}).

for \mathsf{sk}_A = (\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_{A,1},\dots,\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_{A,u}) and \mathsf{pk}_B = (\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_{B,1},\dots,\mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_{B,u}).
```

# Re-encryption (ReEnc):

```
\operatorname{ct}_A = (\operatorname{OTS.vk}_A, \operatorname{ct}_{\operatorname{vk}_A}, \operatorname{OTS}.\sigma_A) \Rightarrow \operatorname{ct}_B = (\operatorname{OTS.vk}_B, \operatorname{ct}_{\operatorname{vk}_B}, \operatorname{OTS}.\sigma_B)
```

- $ct_{vk_B} = (PRE_{CPA}.ct_{B,1}, \dots, PRE_{CPA}.ct_{B,v})$ :

  - $\forall i \in \{1, \dots, v\}, \\ \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ct}_{B,i} \leftarrow \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ReEnc}(\mathsf{rk}_{\alpha_i \to \beta_i}, \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{ct}_{A,i}).$

# Requirement to satisfy Compact Ciphertexts (1/2)

#### Purpose

For a ciphertext  $ct = (OTS.vk, ct_{vk}, OTS.\sigma)$ , compress  $ct_{vk} = (PRE_{CPA}.ct_1, \dots, PRE_{CPA}.ct_{\nu})$  into a single ciphertext.

We consider the following compression:

$$\begin{split} \mathsf{pk}_{\mathsf{vk}} \leftarrow & \sum_{i \in \{1, \dots, v\}} \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_{\tau_i}; \\ \mathsf{ct}_{\mathsf{vk}} \leftarrow & \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{Enc}(\mathsf{pk}_{\mathsf{vk}}, \mathsf{m}). \end{split}$$

# The first attempt

Require for  $PRE_{CPA}$  to satisfy public-to-secret key homomorphism [TW14]:

$$Dec(sk_{vk}, ct_{vk}) = m$$

holds for 
$$\mathsf{sk}_{\mathsf{vk}} = \sum_{i \in \{1, \dots, v\}} \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_{\tau_i}.$$

# Requirement to satisfy Compact Ciphertexts (2/2)

The algorithm Dec works for original ciphertexts in the same way as the bounded CCA2-secure PKE [TW14].

However, such homomorphism is not enough for generating or decrypting re-encrypted ciphertexts.

- Consider re-encrypting a ciphertext  $\operatorname{ct}_A = (\mathsf{OTS.vk}_A, \operatorname{ct}_{\mathsf{vk}_A}, \mathsf{OTS}.\sigma_A)$  by using re-encryption keys  $\operatorname{rk}_{\alpha_i \to \beta_i}$ .
- But  $ct_{vk_A} \leftarrow PRE_{CPA}.Enc(pk_{vk_A}, m)$  is compressed into a single ciphertext.
  - $\Rightarrow$  Cannot run PRE<sub>CPA</sub>.ReEnc( $\mathsf{rk}_{\alpha_i \to \beta_i}$ , PRE<sub>CPA</sub>.ct<sub>A,i</sub>).

# Key-homomorphism for PRE

We introduce a new notion of PRE so that we can compute compact re-encrypted ciphertexts.

# Re-encryption key homomorphism (informal)

$$\begin{split} \mathsf{rk}_{\mathsf{vk}_A \to \mathsf{vk}_B} &= \sum_{i \in \{1, \dots, v\}} \mathsf{rk}_{\alpha_i \to \beta_i}; \ \mathsf{and} \\ \mathsf{Dec}(\mathsf{sk}_{\mathsf{vk}_B}, \mathsf{ReEnc}(\mathsf{rk}_{\mathsf{vk}_A \to \mathsf{vk}_B}, \mathsf{ct}_{\mathsf{vk}_A})) &= \mathsf{m} \end{split}$$

#### hold for

- $ct_{vk_A} \leftarrow PRE_{CPA}.Enc(pk_{vk_A}, m);$
- $\mathsf{pk}_{\mathsf{vk}_A} \leftarrow \sum_{i \in \{1, \dots, v\}} \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{pk}_{\alpha_i};$
- $\mathsf{sk}_{\mathsf{vk}_B} \leftarrow \sum_{i \in \{1, \dots, v\}} \mathsf{PRE}_{\mathsf{CPA}}.\mathsf{sk}_{\beta_i}.$

# Our Generic Construction with Compact Ciphertexts (1/2)

```
• pk = (PRE_{CPA}.pk_1, ..., PRE_{CPA}.pk_u);

• sk = (PRE_{CPA}.sk_1, ..., PRE_{CPA}.sk_u);

• ct = (OTS.vk, ct_{vk}, OTS.\sigma):

• (OTS.vk, OTS.sigk) \leftarrow OTS.KeyGen;

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• (OTS.vk) := \{\tau_1, ..., \tau_v\};

• pk_{vk} \leftarrow \sum_{i \in \{1, ..., v\}} PRE_{CPA}.pk_{\tau_i}.

• ct_{vk} \leftarrow PRE.Enc(pk_{vk}, m);

• OTS.\sigma \leftarrow OTS.Sign(OTS.sigk, ct_{vk});
```

## Re-encryption key generation

- - rk<sub>i→j</sub> ← PRE<sub>CPA</sub>.ReKeyGen(PRE<sub>CPA</sub>.sk<sub>A,i</sub>, PRE<sub>CPA</sub>.sk<sub>B,j</sub>).

# Our Generic Construction with Compact Ciphertexts (2/2)

## Re-encryption:

$$\mathsf{ct}_A = (\mathsf{OTS.vk}_A, \mathsf{ct}_{\mathsf{vk}_A}, \mathsf{OTS}.\sigma_A) \Rightarrow \mathsf{ct}_B = (\mathsf{OTS.vk}_B, \mathsf{ct}_{\mathsf{vk}_B}, \mathsf{OTS}.\sigma_B)$$

- **2** Generation of  $ct_{vk_B}$ :

  - 2  $\mathsf{rk}_{\mathsf{vk}_A \to \mathsf{vk}_B} \leftarrow \sum_{i \in \{1, \dots, v\}} \mathsf{rk}_{\alpha_i \to \beta_i};$
  - 3  $ct_{vk_B} \leftarrow PRE_{CPA}.ReEnc(rk_{vk_A \rightarrow vk_B}, ct_{vk_A});$
- **③** OTS. $\sigma_B$  ← OTS.Sign(OTS.sigk<sub>B</sub>, PRE<sub>CPA</sub>.ct<sub>vk<sub>B</sub></sub>).

# Theorem (Security of the proposed PRE)

#### Assume that

- PRE<sub>CPA</sub> is CPA secure and re-encryption key homomorphic;
- OTS is strongly unforgeable; and
- $\phi$  is  $(\bar{n}, u, t)$ -CFF.

Then the proposed PRE scheme is (t, t)-CCA2-secure.

# Lattice-based PRE with CPA Security and Re-encryption Key homomorphism

- KeyGen, Enc and Dec of our PRE scheme L-PRE are the same as those of ML-KEM.K-PKE (except for using compression functions).
- ReKeyGen and ReEnc are constructed so that L-PRE is re-encryption key homomorphic.

## Theorem (Security of L-PRE)

- L-PRE is CPA secure under the module-LWE assumption, and re-encryption key homomorphic.
- In particular, assuming the adversary  ${\cal A}$  against L-PRE, there exists a reduction algorithm  ${\cal B}$  against module-LWE such that

$$\mathsf{Adv}^{\mathsf{ind-cpa}}_{\mathsf{L-PRE},\mathcal{A},n}(\lambda) \leq O(n_h \cdot q_{rk}) \cdot \mathsf{Adv}^{\mathsf{mlwe}}_{\mathcal{B}}(\lambda),$$

where  $n_h$  is the number of honest users and  $q_{rk}$  is the number of re-encryption key queries.

#### Conclusion

- Proposed a generic construction of bounded CCA2-secure PRE with compact ciphertexts:
  - Introduced the notion of bounded CCA2 security for PRE;
  - Proposed a generic construction from CPA-secure PRE with our introduced key-homomorphism, and OTS;
- Presented lattice-based PRE with required properties;
- As a result, we can obtain a bounded CCA2-secure post-quantum PRE with compact ciphertexts by using
  - our proposed lattice-based PRE; and
  - ▶ a lattice-based OTS scheme [LM08, LM18].

#### Conclusion

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# Thank you!

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